# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Ag	gainst:	)	
JOSEPH VINCENT STOCK, M.D	).	) Case No.	8002013001101
Physician's and Surgeon's Certificate No. A 91231		) ) )	
]	Respondent.	) )	
		, )	

#### **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Medical Board of California, Department of Consumer Affairs, State of California, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on September 19, 2014.

IT IS SO ORDERED August 22, 2014.

MEDICAL BOARD OF CALIFORNIA

1	KAMALA D. HARRIS Attorney General of California		
2	JANE ZACK SIMON	55641	
3	Supervising Deputy Attorney General [SBN 116564] 455 Golden Gate Avenue, Suite 11000		
4	San Francisco, CA 94102-7004 Telephone: (415) 703-5544		
5	Fax: (415) 703-5480 E-mail: Janezack.simon@doj.ca.gov		
6	Attorneys for Complainant Medical Board of California		
7	Medical Board of California		
8	BEFO'	RE THE	
9	MEDICAL BOARD OF CALIFORNIA STATE OF CALIFORNIA		
10			
11	In the Matter of the Accusation Against:	Case No. 8002013001101	
12	JOSEPH VINCENT STOCK, M.D.	<u> </u>	
13	119 West Chestnut #3W Chicago, IL 60610	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER FOR PUBLIC	
14	Physician's and Surgeon's	REPRIMAND	
15	Certificate No. A91231		
16	·		
17	Respondent.		
18 19	IT IS HEREBY STIPULATED AND A	GREED by and between the parties to the above-	
20	entitled proceedings as follows:		
21	1. Kimberly Kirchmeyer is the Exe	cutive Director of the Medical Board of California	
22	(Board) and is the Complainant in this action. T	his action has at all times been brought and	
23	maintained solely in the official capacity of the	Board's Executive Director. Complainant is	
24	represented in this matter by Kamala D. Harris, Attorney General of the State of California, by		
25	Jane Zack Simon, Denuty Attorney General		
26	2. Respondent Joseph Vincent Stoc	k, M.D. (Respondent) is represented in this matter	
27	by Scott J. Harris, Attorney at Law, 8383 Wilsh	ire Blvd, Suite 830, Beverly Hills, CA 90211.	
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Stipulated Settlement and Disciplinary Order for Public Reprimand

- 3. Respondent is licensed by the Medical Board of California under Physician's and Surgeon's Certificate No. A91231.
- 4. An Accusation in case number 8002013001101 (Accusation) was filed with the Medical Board of California and is currently pending against Respondent. The Accusation, together with all statutorily required documents, was duly served on Respondent. A copy of the Accusation is attached as Exhibit A.
- 5. Respondent has carefully read, discussed with counsel and understands the charges and allegations in the Accusation. Respondent has also carefully read, discussed with counsel and understands the effects of this Stipulated Settlement and Disciplinary Order for Public Reprimand (Stipulation).
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every one of these rights.
- 7. For the purpose of resolving the charges and allegations in Accusation No. 8002013001101 without the expense and uncertainty of further proceedings, Respondent agrees that cause exists to discipline his California physician's and surgeon's certificate pursuant to Business and Professions Code sections 2305 and 141.
- 8. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Medical Board of California or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.
- 9. This Stipulation shall be subject to the approval of the Board. Respondent acknowledges that he shall not be permitted to withdraw from this Stipulation unless it is rejected

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1	<u>ACCEPTANCE</u>	
2	I have read the foregoing Stipulated Settlement and Disciplinary Order for Public	
3	Reprimand in its entirety. I fully understand the terms of the Stipulation and their legal	
4	significance and the consequences of signing the Stipulation, and that I agree to this Stipulation.	
5	stipulate and agree that a FAX or electronic copy of my signature shall be binding as an original.	
6		
7 8	DATED:  JOSEPH VINCENT STOCK M.D.  Respondent	
9	APPROVAL	
0	THI KO VIE	
11	I have read and fully discussed with respondent Joseph Vincent Stock, M.D. the	
12	terms and conditions and other matters contained in the above Stipulated Settlement and	
13	Disciplinary Order for Public Reprimand. I approve its form and content.	
14	Dated: SCOTT J. HARRIS	
15	Attorney for Respondent	
16		
17	<u>ENDORSEMENT</u>	
18	The foregoing Stipulated Settlement and Disciplinary Order for Public Reprimand is	
19	hereby respectfully submitted for consideration by the Medical Board of California.	
20		
21	Dated: Respectfully Submitted,	
22	KAMALA D. HARRIS Attorney General of California	
23	·	
24	JANE ZACK SIMON	
25	Supervising Deputy Attorney General	
26	Attorneys for Complainant	
27		
28		

# **ACCEPTANCE**

I have read the foregoing Stipulated Settlement and Disciplinary Order for Public
Reprimand in its entirety. I fully understand the terms of the Stipulation and their legal
significance and the consequences of signing the Stipulation, and that I agree to this Stipulation.
stipulate and agree that a FAX or electronic copy of my signature shall be binding as an original.
•

DATED: 71614

JOSEPH VINCENT STOCK M.D.
Respondent

### <u>APPROVAL</u>

I have read and fully discussed with respondent Joseph Vincent Stock, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order for Public Reprimand. Lapprove its form and content.

Dated: 7/16/14

SCOTT J. HARRIS Attorney for Respondent

## **ENDORSEMENT**

The foregoing Stipulated Settlement and Disciplinary Order for Public Reprimand is hereby respectfully submitted for consideration by the Medical Board of California.

Dated: 7 17 14

Respectfully Submitted,

KAMALA D. HARRIS Attorney General of California

JANE ZACK SIMON
Supervising Deputy Attorney General

Attorneys for Complainant

1 2 3 4 5 6	Deputy Attorney General [SBN 116564] 455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004 Telephone: (415) 703-5544 Fax: (415) 703-5480 E-mail: Janezack.simon@doj.ca.gov	FILED  STATE OF CALIFORNIA  MEDICAL BOARD OF CALIFORNIA  BACRAMENTO FEBRUARY ZO, 20 14  BY: THICHAL ANALYST	
7 8	Attorneys for Complainant Medical Board of California		
9		RE THE	
10	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
11			
12 13	In the Matter of the Accusation Against:	Case No. 8002013001101	
14	JOSEPH VINCENT STOCK, M.D. 119 West Chestnut #3W Chicago, IL 60610	A C C U S A T I O N	
15 16	Physician's and Surgeon's Certificate No. A91231		
17	Respondent.		
18			
19	The Complainant alleges:		
20	1. Kimberly Kirchmeyer (C	Complainant) is the Interim Executive Director of	
21	the Medical Board of California, Department of Consumer Affairs, and brings this Accusation		
22	solely in her official capacity.		
23	2. On May 11, 2005, Physician's and Surgeon's Certificate No. A91231 was		
24	issued by the Medical Board of California to Joseph Vincent Stock, M.D. (Respondent.) The		
25	certificate is renewed and current, with an expir	ation date of September 30, 2014.	
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Accusation (8002013001101)

JURISDICTION

- 3. This Accusation is brought before the Medical Board of California (Board) under the authority of the following sections of the California Business and Professions Code (Code) and/or other relevant statutory enactment:
  - A. Section 2227 of the Code provides in part that the Board may revoke, suspend for a period not to exceed one year, or place on probation, the license of any licensee who has been found guilty under the Medical Practice Act, and may recover the costs of probation monitoring.
  - B. Section 2305 of the Code provides, in part, that the revocation, suspension, or other discipline, restriction or limitation imposed by another state upon a license to practice medicine issued by that state, that would have been grounds for discipline in California under the Medical Practice Act, constitutes grounds for discipline for unprofessional conduct.
    - C. Section 141 of the Code provides:
    - "(a) For any licensee holding a license issued by a board under the jurisdiction of a department, a disciplinary action taken by another state, by any agency of the federal government, or by another country for any act substantially related to the practice regulated by the California license, may be ground for disciplinary action by the respective state licensing board. A certified copy of the record of the disciplinary action taken against the licensee by another state, an agency of the federal government, or by another country shall be conclusive evidence of the events related therein.
    - "(b) Nothing in this section shall preclude a board from applying a specific statutory provision in the licensing act administered by the board that provides for discipline based upon a disciplinary action taken against the licensee by another state, an agency of the federal government, or another country."

#### FIRST CAUSE FOR DISCIPLINE

(Discipline, Restriction, or Limitation Imposed by Another State)

4. On October 28, 2013, the Department of Financial and Professional Regulation, Division of Professional Regulation of the State of Illinois (Illinois Department) issued a Consent Order regarding Respondent's license to practice medicine in Illinois. The

1	Consent Order contained facture	
	Consent Order contained facture	
2	DEA Registration in response	
3	substance to two individuals w	
4	Respondent demonstrated that	
5	Under the terms of the Conser	
6	probation for a minimum of on	
7	suspended for 30 days. Terms	
8	completion of CME in profess:	
9	issued by the Illinois Departme	
10	5. Respondent's cond	
11	paragraph 4, above, constitute	
12	conduct subject to discipline w	
13		
14	WHEREFORE, Compl	
15	and that following the hearing,	
16	1. Revoking or suspe	
17	issued to respondent Joseph V	
18	2. Revoking, suspend	
19	physician assistants;	
20	3. Ordering Respond	
21	and	
22	4. Taking such other	
23		
24	DATED: February 20,	
25		
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	u .	

al stipulations that Respondent had voluntarily surrendered his to allegations of issuing two prescriptions for a controlled rithout an established doctor-patient relationship, and that he completed a course in the prescribing of controlled substances. nt Order, Respondent's Illinois license was placed on indefinite e year and his Illinois Controlled Substance License was and conditions of probation include payment of a fine and ional responsibility and ethics. A copy of the Consent Order ent is attached is attached as Exhibit A.

duct and the action of the Illinois Department as set forth in unprofessional conduct within the meaning of section 2305 and within the meaning of section 141(a).

#### **PRAYER**

ainant requests that a hearing be held on the matters herein alleged, the Board issue a decision:

- ending Physician's and Surgeon's Certificate Number A91231 incent Stock, M.D.;
- ding or denying approval of Respondent's authority to supervise
- ent, if placed on probation, to pay the costs probation monitoring;
  - and further action as the Board deems necessary and proper.

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Interim Executive Director Medical Board of California

Department of Consumer Affairs

State of California

Complainant

EXHIBIT A

# STATE OF ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION DIVISION OF PROFESSIONAL REGULATION

DEPARTMENT OF FINANCIAL AND		)
PROFESSIONAL REGULATION		)
of the State of Illinois,	Complainant,	)
v.		) No. 2013-05610
Joseph V. Stock, M.D.		)
License No. 036-106198/336-066805,	Respondent.	)

#### CONSENT ORDER

The Department of Financial and Professional Regulation, Division of Professional Regulation, of the State of Illinois, by Vladimir Lozovskiy, one of its attorneys, (hereinafter the "Department") and Joseph V. Stock, M.D., (hereinafter the "Respondent"), hereby agree to the following:

#### **STIPULATIONS**

Joseph V. Stock, M.D. is licensed as a Physician and Surgeon in the State of Illinois, holding License No. 036-106198 and 336-066805. Said Licenses are currently in Active Status. At all times material to the matter(s) set forth in this Consent Order, the Department of Financial and Professional Regulation, Division of Professional Regulation, of the State of Illinois had jurisdiction over the subject matter and parties herein.

Information has come to the attention of the Department that Respondent voluntarily surrendered his DEA Registration in response to allegations of issuing two prescriptions for a controlled substance to two individuals without an established doctor-patient relationship. The allegations pled, if proven to be true, would constitute grounds for suspending, revoking and other discipline of Respondent's license on authority of 225 ILCS 60/22(A)(5) and (33).

An Informal Conference was held in this regard on August 21, 2013. Richard Fay, D.C. was present on behalf of the Medical Disciplinary Board and Vladimir Lozovskiy was present for

the Department. Respondent was present in person and represented by his attorney Terry A.

Takash, Esq. While Respondent makes no admissions to the above-referenced allegations, during the Informal Conference, Respondent showed proof of completion of in-person CME course relating to prescribing of Controlled Substances.

For purposes of this Consent Order only, Respondent acknowledges that should this matter proceed to a contested hearing, the Illinois Medical Disciplinary Board (the "Board") may find a violation of the Medical Practice Act. The Department and Respondent stipulate that the above acknowledgement is made only for the purposes of this Consent Order. In the event that this Consent Order is not approved by the Board or is not approved by the Director of the Division of Professional Regulation of the Illinois Department of Financial and Professional Regulation ("Director"), this acknowledgement shall not be admissible in any proceeding and the matter will be set for an evidentiary hearing on the merits as if this Consent Order had not been submitted. In addition, upon approval of this Consent Order, neither this acknowledgement nor this Consent Order may be utilized in any other proceeding, except one to enforce this Agreement.

Respondent has been advised of the right to have pending allegations reduced to written charges, the right to a hearing, the right to contest any charges brought, and the right to administrative review of this Consent Order. Respondent knowingly waives each of these rights, as well as the right to administrative review of this Consent Order. Such waiver ceases if this Consent Order is rejected by either the Medical Disciplinary Board or the Director of the Division of Professional Regulation of the Illinois Department of Financial and Professional Regulation.

Respondent and the Department have agreed, in order to resolve this matter, that Respondent, Joseph V. Stock, M.D., be permitted to enter into a Consent Order with the

Department, providing for the imposition of disciplinary measures which are fair and equitable under the circumstances and which are consistent with the best interests of the people of the State of Illinois.

#### CONDITIONS

WHEREFORE, the Department, through Vladimir Lozovskiy, its attorney, and Joseph V. Stock, M.D., Respondent, agree:

- A. Upon effective date of this Consent Order, Illinois Physician and Surgeon License of Joseph V. Stock, M.D., License No. 036-106198, is hereby placed on an indefinite probation for a minimum of one (1) year;
- B. Upon effective date of this Consent Order, Illinois Controlled Substance License of Joseph V. Stock, M.D., License No. 336-066805, is hereby suspended for thirty (30) days;
- C. Respondent shall pay to the Department a fine in the amount of five thousand and no/100 dollars (\$5,000.00). Respondent shall submit a check payable to the Illinois Department of Financial and Professional Regulation within ninety (90) days of the effective date of this Consent Order. Said check shall be mailed to Illinois Dept. of Financial and Professional Regulation, Attention: CMU/Accounts Receivable Fine Payments, 320 W. Washington St., 3<sup>rd</sup> Floor, Springfield, Illinois 62786. In the event that Respondent shall fail to pay the fine, and the Department is forced to initiate a collection effort to retrieve the fine, the Respondent will be responsible for all costs and fees incurred by the collection process;
- D. During the period of probation, Respondent shall provide the Department with quarterly reports which include: (i) current residential and work address, including each and every

healthcare organization where Respondent is practicing and/or holds admitting privileges,

(ii) information, regarding any arrests, criminal, or civil actions filed, including DUI

and/or other similar offenses against the Respondent.

- E. Respondent shall notify the Department's Chief of Probation Investigations in writing of any change in employment and/or home address and/or telephone number within ten (10) days;
- F. While Respondent's said license is on Probation, Respondent shall take and complete additional thirty (30) category I Continuing Medical Education credits directly related professional responsibility and medical ethics. Said additional CMEs are not to be counted towards the annual CMEs required by the Medical Practice Act;
- G. All the reports required to be submitted under the terms of this Probation shall be provided to the Department no later than 1/20, 4/20, 7/20 and 10/20 of each year during the full term of the Probation;
- H. Respondent shall not violate the Illinois Medical Practice Act of 1987 and/or any other federal and state laws related to the practice of medicine as well as any other federal and state laws which would rise to the level of the violation of Illinois Medical Practice Act;
- Respondent agrees that a violation of the terms and conditions of this Consent Order or a violation of the terms of probation is a violation of 225 ILCS 60/22(A)(15);
- J. This Consent Order shall become effective once approved by the Director of the Division of Professional Regulation of the Illinois Department of Financial and Professional Regulation.

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION of the State of Illinois, Division of Professional Regulation

9/18/13 DATE	Vladimir Lozovskiy Attorney for the Department
9 17 13 DATE	Joseph V. Stock, M.D. Respondent
9/17/13 DATE	Terry A. Takash Attorney for Respondent
10/2/13 DATE	Member, Medical Disciplinary Board
The foregoing Consent Order	is approved in full.
DATED THIS W	DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION of the State of Illinois Division of Professional Regulations

REF: Case No. 2013-05610/ License No. 036-106198 and 336-066805